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PTO/SB/05 (12/97)

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jc658  
11/22/99  
U.S. PTO

45

UTILITY

Atty Docket No. 32405WG026

Total Pages

PATENT APPLICATION

First Named Inventor of Application Identifier

TRANSMITTAL

Hiroshi Yoneda

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Express Mail Label No.:

434

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent contents.

Assistant Commissioner for Patents

ADDRESS TO: Box Patent Applications  
Washington, DC 20231

564

1.  Fee Transmittal Form (submit an original and a duplicate for fee processing)

2.  Specification [Total Pages 30]

6.  Microfiche Computer Program (Appendix)

7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

a.  Computer Readable Copy

b.  Paper Copy (identical to computer copy)

c.  Statement verifying identity of above copies

3.  Drawing(s) (35 USC 113) [Total Sheets 12]

4.  Oath or Declaration [Total Pages 1]

a.  Newly executed (original or copy)

b.  Copy from a prior application (37 CFR 1.63(d))  
(for continuation/division with Box 17 completed)

*(Note Box 5 below)*

i.  DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named  
in the prior application, see 37 CFR 1.63(d)(2) and  
1.33(b)

5.  Incorporation By Reference (useable if Box 4b is checked)

The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 4b, is  
considered as being part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein.

#### ACCOMPANYING APPLICATION PARTS

8.  Assignment Papers (cover sheet & document(s))

9.  37 CFR 3.73(b) Statement (when there is an assignee)  
 Power of Attorney

10.  English Translation Document (if applicable)

11.  Information Disclosure Statement (IDS)/PTO-1449  
 Copies of IDS Citations

12.  Preliminary Amendment

13.  Return Receipt Postcard (MPEP 503) *Should be specifically itemized*

14.  Small entity Statement(s)

Statement filed in prior application. Status still proper and desired.

15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)

16.  Other:

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation  Divisional  Continuation-in-part (CIP) of pending prior international application

#### 18. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label or  Correspondence address below  
(insert Customer No. or Attach bar code label here)

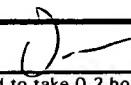
Name Smith, Gambrell & Russell, LLP, The Beveridge, DeGrandi, Weilacher & Young Intellectual Property Group

Address 1850 M Street, N.W., Suite 800

City Washington State D.C. Zip Code 20036

Country U.S. Telephone (202) 659-2811 Fax (202) 659-1462

Name (Print/Type) Dennis C. Rodgers Registration No. 32,936  
(Attorney/Agent)

Signature  Date November 22, 1999

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THE ADDRESS, SEND TO: Assistant Commissioner for Patents, Box CPA, Washington, DC 20231.

**FEE TRANSMITTAL**

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid.  
See Forms PTO/SB/09-12.  
See 37 C.F.R. §§1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT: \$800.00

Complete if Known	
Application Number	New
Filing Date	Herewith
First Named Inventor	Hiroshi Yoneda
Examiner Name	Unassigned
Group / Art Unit	Unassigned
Attorney Docket No.	32405R026

**METHOD OF PAYMENT (check one)**

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account No.: 02-4300

Deposit Account Name: \_\_\_\_\_

Charge any additional fee Required Under 37 C.F.R. §§1.16 and 1.17 related to this filing  
 Charge the Issue Fee Set in 37 C.F.R. §1.18 at the Mailing of the Notice of Allowance

2.  Payment Enclosed:

Check  Money Order  Other

**FEES CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (#)	Small Entity Fee Code (#)	Fee Description	Fee Paid
101 760	201 380	Utility filing fee	760.00
106 310	206 155	Design filing fee	
107 480	207 240	Plant filing fee	
108 760	208 380	Reissue filing fee	
114 150	214 75	Provisional filing fee	

**SUBTOTAL (1): \$760.00****2. EXTRA CLAIM FEES**

Extra Claims	Fee from below	Fee paid
Total Claims <u>17</u>	-20	= 0 x \$18.00 = 0.00
Indep. Claims <u>2</u>	-3	= 0 x \$78.00 = 0.00
Multiple Dep. <u>0</u>		= 0 x \$260.00 = 0.00

\*\*or number previously paid, if greater; For Reissues, see below.

Large Entity Fee Code (#)	Small Entity Fee Code (#)	Fee Description
103 18	203 9	Claims in excess of 20
102 78	202 39	Independent claims in excess of 3
104 280	204 130	Multiple dependent claim, if not paid
109 78	209 39	*Reissue independent claims over original patent
110 18	210 9	*Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2): \$ 0.00****FEES CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code (#)	Small Entity Fee Code (#)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 380	216 190	Extension for reply within second month	
117 870	217 435	Extension for reply within third month	
118 1,360	218 680	Extension for reply within fourth month	
128 1,850	228 925	Extension for reply within fifth month	
119 300	219 150	Notice of Appeal	
120 300	220 150	Filing a brief in support of an appeal	
121 260	221 130	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 65	Petition to revive - unavoidable	
141 1,210	241 605	Petition to revive - unintentional	
142 1,210	242 605	Utility issue fee (or reissue)	
143 430	243 215	Design issue fee	
144 580	244 290	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Statement	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 760	246 380	Filing a submission after final rejection (37 CFR 1.129(a))	
149 70	249 380	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify) \_\_\_\_\_

Other fee (specify) 581 Assignment Recordation (\$40)**SUBTOTAL (3): \$40.00**

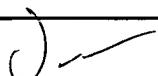
\*Reduced by Basic Filing Fee Paid

**TOTAL: \$800.00****SUBMITTED BY**

Typed or Printed Name

Dennis C. Rodgers

Signature



Date

November 22, 1999

Complete (if applicable)

Reg. Number

32,936

Deposit Account User ID

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